



NBL Securities Limited

(Fully owned subsidiary company of National Bank Ltd.)

Corporate Member:

DSE (203) SEC Reg No. 3.1/DSE-203/2011/457

CSE (12) SEC Reg. No. 3.2/CSE-012/2011/280

Full Service Depository Participant of CDBL



Account Opening Form

Name of Account Holder: _____

Customer Account No. : _____

B.O. ID No. :

1	2	0	3	9	8	0	0								
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

Address : _____

Phone : _____ Mobile: _____

E-mail ID : _____

Name of Joint A/C Holder : _____

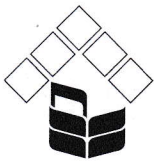
Documents Check List

(For Office Use Only)

Individual and Joint A/C	<p>Individual Account Holder</p> <p><input type="checkbox"/> Attested Photocopy of National ID Card/Passport.</p> <p><input type="checkbox"/> Bank Certificate/Bank Statement (Original/Attested Photocopy) <input type="checkbox"/> Copy of cheque leaf</p> <p><input type="checkbox"/> 3 Copies of Passport Size Photograph (Signed by Account Holder)</p> <hr/> <p>Joint Account Holder</p> <p><input type="checkbox"/> Attested Photocopy of National ID Card/Passport.</p> <p><input type="checkbox"/> 3 Copies of Passport Size Photograph (Signed by Joint Account Holder)</p> <hr/> <p>Nominee</p> <p><input type="checkbox"/> 2 Copies of Passport Size Photograph (Attested by Account Holder)</p> <p><input type="checkbox"/> Attested Photocopy of National ID Card/Passport of Nominee</p> <hr/> <p>Authorized Person & Power of Attorney</p> <p><input type="checkbox"/> 3 Copies of Passport size Photograph (Attested by Account Holder)</p> <p><input type="checkbox"/> Photocopy of National ID/Passport (Attested by Account Holder)</p>
Company A/C	<p>Company Account</p> <p><input type="checkbox"/> Photocopy of Valid Trade License.</p> <p><input type="checkbox"/> Memorandum and Articles of Association/Certificate of Incorporation.</p> <p><input type="checkbox"/> TIN Certificate</p> <p><input type="checkbox"/> Bank Certificate/Statement of the Company's Bank Account</p> <p><input type="checkbox"/> 3 copies of Passport Size Photograph of Each Authorized Signatory(s)</p> <p><input type="checkbox"/> National ID/Passport of the Authorized Person/Operator</p> <p><input type="checkbox"/> Board Resolution Regarding (1) Opening of BO Account (2) Authorized Signatory(s)</p> <p><input type="checkbox"/> Photocopy of valid cheque leaf</p> <hr/> <p>Sole Proprietor</p> <p><input type="checkbox"/> Copy of Valid Trade License</p> <p><input type="checkbox"/> Bank Certificate/Statement of the Company's Bank Account</p> <p><input type="checkbox"/> TIN Certificate</p> <p><input type="checkbox"/> National ID/Passport of the Proprietor</p> <p><input type="checkbox"/> 3 Copies of Passport Size Photograph of Proprietor</p>
Non Resident of Bangladeshi (NRB)	<p>Account Holder (Self)</p> <p><input type="checkbox"/> 3 Copies of Passport size Photograph of Account Holder(s)</p> <p><input type="checkbox"/> Photocopy of Valid Passport (duly attested)</p> <p><input type="checkbox"/> Work Permit or Salary Statement (duly attested)</p> <p><input type="checkbox"/> Foreign Currency (F/C) Account Certificate</p> <hr/> <p>Nominee</p> <p><input type="checkbox"/> 3 Copies of Passport size Photograph of Nominee Duly Attested by Account Holder.</p> <p><input type="checkbox"/> Photocopy of National ID/Bank Certificate/Passport of Nominee (Attested by Account Holder)</p> <hr/> <p>Power of Attorney</p> <p><input type="checkbox"/> Must Have an Authorized Person/POA (Power of Attorney) on Non-Judicial Stamp of Tk. 200/- duly Notarized.</p> <p><input type="checkbox"/> 3 Copies of Passport Size Photograph of Power of Attorney/Authorized Person (attested by Account Holder)</p> <p><input type="checkbox"/> Photocopy of National ID/Bank Certificate/Passport of Power of Attorney/Authorized Person (Attested by Account Holder)</p> <p>NB: In case of Joint Account, the Second Holder Must be NRB and Must Provide the Photocopy of the Passport.</p>

.....
Checked by

.....
Verified by



NBL Securities Limited

Fully owned subsidiary company of National Bank Ltd.

Corporate Member :

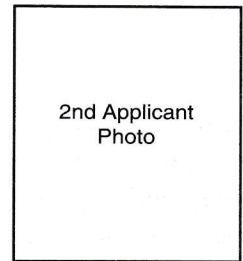
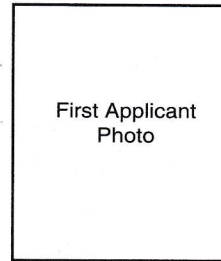
DSE (203) SEC Reg. No. 3.1/DSE-203/2011/457

CSE (12) SEC Reg. No. 3.2/CSE-012/2011/280

Corporate Office: Rahamat Tower (4th floor), 19, Dilkusha C/A, Dhaka-1000

PABX: 47119620-21, E-mail: nblsecurities@gmail.com, Web: www.nblsl.com, www.nblbd.com

Form 1A
Rule 8 (1) (ccc)



CUSTOMER ACCOUNT OPENING INFORMATION FORM

Client A/C No. :

BO ID No.

Account Type : Cash Margin

Account Status : Individual Joint Company

Name of the Customer :

Father's/Husband's/CEO's (in case of Firm of Company) Name :

Mother's Name :

National ID No.:

Date of Birth :Age.....Sex: Male / Female.....

Present Address :

Permanent Address :

Tel (if any) :NationalityOccupation.....

Name of Joint Account Holder :

Father's/Husband's Name.....

Mother's Name :

National ID No.:

Date of BirthAge.....Sex: Male/Female:

Present Address :

Permanent Address :

Tel (if any) :NationalityOccupation

Name with Address of the Authorized Person of the Client, if Applicable :

Whether the Customer is an Officer or Director of any Stock Exchange/Listed Company? Yes No

If yes, Name of the Stock Exchange/ Listed Company :


Bank Name : Branch A/C. No. :

Name & Address of the person introducing the Client, if any:

Special Instruction, if any :

Mode of operation : (Jointly/Any one can operate) :

.....
Signature of the Authorized Person
of the Customer, if any
Date :


Signature of the Customer
Date :

.....
Signature of the Joint Account Holder
Date :

.....
Signature of the Introducer with Date
Introducer's Signature verified by:

Signature:

Name:.....

Designation:

.....
Signature of the CEO/Competent Authority Accepting the Account
Date :

TERMS AND CONDITIONS

“**BROKER**” shall mean NBL **Securities Limited**.

“**BUYER**” shall mean the person or persons or company who intends to buy securities for him/her/their through the **BROKER**.

“**SELLER**” shall mean the person or persons or company who intends to sell his/her/their securities through the **BROKER**.

“**BO Account**” shall mean the account opened by the **SELLER/BUYER** with the **BROKER** to sell/buy securities.

“**Settlement day**” shall mean the days declared by the Stock Exchange, on which transactions carried out by the **BROKER** on behalf of the **SELLER/BUYER** at the Stock Exchange are settled/cleared with the Stock Exchange.

“**CDBL**” shall mean Central Depository Bangladesh Limited incorporated on 20th August, 2000 in Bangladesh Under Central Depository Act.

SALE ORDER

The **SELLER** shall deliver to the **BROKER** valid and negotiable documents, i.e. transfer document(s) duly completed and signed by the **SELLER** together with relative securities certificates with valid title, prior to placing a sale order.

If for any reason whatsoever securities documents delivered by the **SELLER** turns out to be forged, invalid, worn out, torn or defaced, the defaulting **SELLER** shall be liable to his **BROKER** for any loss or damage sustained or incurred. The defaulting **SELLER** shall be liable to replace such securities along with all benefits attributable to such securities within two days of reporting in writing to the **SELLER** by the **BROKER**. If for any reason the defaulting **SELLER** fails to replace such securities along with all benefits attributable to such securities within two days of reporting in writing to the **SELLER** by the **BROKER**, the **BROKER** shall have the absolute discretion, to square-up the transaction commencing from the market day after the stipulated period (as above), at the **SELLERS** risk and the **SELLER** shall be liable to the **BROKER** for any loss or damage sustained or incurred.

PAYMENT TO SELLER

The **BROKER** shall make payment to the **SELLER** on the settlement day, subject to the overall cash balance of the Seller's "Securities Account".

PURCHASE ORDER

The **BUYER** shall pay his **BROKER** total value of the **PURCHASE** order in the **BUYERS** "Securities Account" prior to placing a **PURCHASE** order.

PAYMENT BY BUYER

The **BUYER** shall pay his **BROKER** on or before the settlement day balance amount (if any), including charges of all securities purchased by him during the period of dealing for that settlement. If the **BUYER** defaults for whatever reason, he shall be liable to his **BROKER** for all loss or damage sustained or incurred. In addition, to adjust the outstanding amount, the buying **BROKER** shall have the absolute discretion, to resell commencing from the market day after the day of settlement, the securities at the

SETTLEMENT THROUGH CDBL

If the CDBL is involved in the settlement process, client should follow the under mentioned rules :


Client must maintain a Beneficiary Owner (BO) Account with any Depository Participant, and Client must inform the broker his BO Account Number with authentic documents.

Before placing any sell order client must transfer his shares from his BO Account to broker clearing account with related instruction.

Client will pay the charges of CDBL, if necessary to transfer the shares from Client BO Account to Broker Clearing Account and Clearing Account to BO Account.

Broker reserves the absolute right to deduct the charges at source where applicable related to client **CDBL** operation.

Client will be liable for any losses or damages occurred due to wrong or incorrect information related to **CDBL** given by the Client.

1. 
Signature of Account Holder

2.
Signature of Joint Account Holder

GOVERNING LAW

All the transactions shall be subject to the Rules and Regulations of the Bangladesh Securities and Exchange Commission/Dhaka Stock Exchange Limited/Chittagong Stock Exchange Limited, CDBL and other prevailing laws and regulations of Bangladesh and in particular the authority hereinafter granted by the client to the BROKER.

AUTHORITY OF THE BROKER

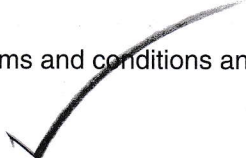
Broker reserves the absolute right for sale/buy/make adjustment/transfer of any Securities at client's risk in order to set off all losses, damages and debit amount/ shares/securities of the "Client Account".

Client shall be bound to pay% (in word) charges as brokerage commission to Broker for buy and sell and broker can change time to time.

Client shall be bound to furnish such other particulars, documents and/or information that may reasonably required from time to time.

Broker shall have the right to change/modify any terms and conditions when may deem necessary without any notice to the client.

We hereby accept the above terms and conditions and we declare that the information given is true and correct.



Signature of Account Holder : 1.....

Signature of Joint Account Holder : 2.....

Witnesses : 1 Signature : 2. Signature :

Name : Name :

Address : Address :

FOR OFFICE USE ONLY:

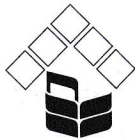
Introduced by :

Approved by :

Signature : Signature :

Name : Name :

Designation : CEO/Competent Authority Accepting the Account



NBL Securities Limited

Fully owned subsidiary company of National Bank Ltd.

Corporate Member:

DSE (203)SEC Reg. No. 3.1/DSE-203/2011/457

CSE (12) SEC Reg. No. 3.2/CSE-012/2011/280

KYC Profile Form

(Applicable for BO Account)

(To be completed by the Account Opening Officer)

Date :

Trading ID :

1. Customer/Account Name :
2. Type of Account : <input type="checkbox"/> Cash <input type="checkbox"/> Margin Account Status: <input type="checkbox"/> Individual <input type="checkbox"/> Joint <input type="checkbox"/> Company <input type="checkbox"/> NRB
3. Occupation (In details):
4. Contact Address :
5. Contact No./Tel :
6. E-mail ID :
7. Name of A/C Opening Officer:
8. What is the source of Fund?
9. Information about Beneficial Owner of the account (In case of company, details information of regulatory shareholder and 20% or above single shareholder)

10. Passport No.

photocopy obtained? Yes No.

11. National ID No.

photocopy obtained? Yes No.

12. TIN No.

photocopy obtained? Yes No.

13. VAT Reg. No.

photocopy obtained? Yes No.

14. Driving License No.

photocopy obtained? Yes No.

15. In case of NRB A/C

a) Reasons for opening the Account	
------------------------------------	--

b) Type of Visa	Resident	Work	Valid upto	
-----------------	----------	------	------------	--

16. Political Exposed Person:

a) Approval obtained from Competent Authority	<input type="checkbox"/> Yes <input type="checkbox"/> No
---	--

b) Customer(s) interviewed personally	<input type="checkbox"/> Yes <input type="checkbox"/> No
---------------------------------------	--

A/c Opening Officer

Checked By :

Verified By :

Approved By :

(Br. Manager/Compliance Officer)

Signature (with seal)

Signature (with seal)

Signature (with seal)

Signature (with seal)



NBL Securities Limited

Fully owned subsidiary company of National Bank Ltd.

Corporate Member:

DSE (203)SEC Reg No. 3.1/DSE-203/2011/457

CSE (12) SEC Reg. No. 3.2/CSE-012/2011/280

DEED OF AGREEMENT FOR CREDIT FACILITY UNDER MARGIN RULES 1999

This **AGREEMENT** (the "Agreement") is made and executed at **NBL Securities Limited**, Head Office, 18, Dilkusha C/A, Dhaka-1000 on this the.....day of..20 of the Christian Era.

By and Between

NBL Securities Limited (NBL SL), a public limited Company incorporated in Bangladesh under the relevant laws of Bangladesh and registered with the Registrar of Joint Stock Companies & Firms, having its Registered Office at 18, Dilkusha C/A, Dhaka-1000 and having DSE TREC (No. 203) and CSE TREC (No. 012) hereinafter referred to as "The First Party/TREC Holder/ Stock Broker or Stock Dealer"(which expression, where the context shall so admit, shall mean and include its successors, executors, administrators, representatives and assigns) of the ONE PART

AND

Name:, S/o

(Address):

and (if Joint) Name :Customer A/C No-

....., hereinafter referred to as the customer/client (which expression, unless repugnant to the context shall include its successors-in-interest, assigns, nominees, executors, administrators, officers and legal representatives) of the OTHER PART.

WHEREAS, the First Party is an established and reputed stock dealer/broker who has been providing stock brokerage and CDBL services and also offering Margin/credit facilities to its customers/clients as per applicable rules and regulations;

AND WHEREAS, the customer/client has approached the First Party for obtaining margin/credit facilities for purchasing securities listed with the Dhaka/Chittagong Stock Exchange/s against his/their A/C No..... with the First Party;

AND WHEREAS, the First Party has agreed to extend such credit/margin facilities to the customer/client on the terms and conditions hereinafter stated and both parties have agreed to enter into and abide by this Agreement for Margin Trading for operating Margin Account and Trading;

NOW, THEREFORE, THIS AGREEMENT WITNESSETH AS FOLLOWS:

1. Definitions

"**Appropriate Portion**" shall mean the portion of the Portfolio of the Client, as determined by the Stock Broker, necessary to be disposed off for settling the liabilities of the client, which shall be decided upon at the sole discretion of the Stock Broker.

"**Debit Balance**" shall mean the total value of cash owed by the Client together with any interest to the Broker in the Margin Account for Securities Purchased into the account.



"Debt Liability" Shall mean total margin loan to the account including accrued interest at a specific time.

"Equity" shall mean total value of the Portfolios in the relevant investment account less Debt Liabilities including accrued interest

"Margin Loan" means the loan provided to the Client for investment in securities in accordance to the terms of condition of this Agreement.

"Net Asset Value (NAV)" shall mean total portfolio value including cash, accrued dividend and interest less total liabilities including margin loan, accrued interest, brokerage commission, and other applicable charges.

"Portfolio Value" shall mean total market value of all the stocks in the Margin Account.

2. Terms of Investment:-

- 2.1 This Agreement will be valid till the BO Account is Settled & Closed.
- 2.2 Prior to execution of this Agreement, the Client has opened an Account with the Stock Broker i.e. **NBL Securities Limited**.
- 2.3 A "Margin Account" shall be opened in the books of the said First Party in the name of the said client/customer, which shall be managed, operated and regulated in accordance with the applicable rules and regulations of the BSEC.
- 2.4 The said customer/client through this agreement authorizes the First Party to mortgage, pledge or hypothecate the customer/client's securities or property for a sum not exceeding the debit balance in the margin account and without obligation to retain in his possession or control securities of like character as provided under the Margin Rules, 1999.
- 2.5 The initial requisite margin shall be deposited by the customer/client with the First Party on the opening date of the margin account or within seven (7) days of first securities transaction.
- 2.6 The Client has absolute discretionary power to make investment decisions and may from time to time instruct the Stock Broker to make such investments(s) for and on his behalf by the following means of communication:
 - (a) Order slip of the Stock Broker signed by client.
 - (b) Written instruction signed by the Client;
 - (c) Faxed instruction signed by the Client;
 - (d) Other evidence of order recorded through any other electronic devices accepted by the Stock Broker.
- 2.7 The client/customer shall only be allowed to trade in "Approved Shares" using the margin loan provided and only approved shares may be used as collateral for Margin Trading. The First Party shall provide an updated list of approved shares to the client on demand. A previously approved share, which is later disqualified for inclusion, will be excluded from all subsequent margin calculations.
3. The First Party shall have absolute discretion to accept or decline any security against which credit facility is to be extended and shall reserve the right to make changes to their list of "approved securities" at any given moment.
4. In the case of First Party's omitting a particular security securities from the list of "approved securities" the client shall accordingly adjust the "Portfolio value" or the "Debit balance" within five market days. Provided that in the event any security is suspended by the SEC, DSE (or CSE), First Party will exclude it from the calculation of the portfolio value at any time.
5. In case of any securities becoming non-marginable as per BSEC's order the Client shall accordingly adjust the "Portfolio value" of the "Debit balance" within 7 (Seven) working days.
6. The margin deposited by client with the member shall be in the form of cash, securities issued by the government or its agencies, approved marginable securities and such other instruments as the BSEC from time to time prescribe.

7. The First Party shall make a call for additional margin to be deposited by the client/customer in writing or verbally in person or via telephone) whenever the equity in the customer/client's account falls below 150% to bring the equity to not less than 150%. Such additional margin must be satisfied by depositing cash or marginable securities as mentioned above within three days from the date of call/notice.
8. Once the equity in the margin account falls below 125% of the debit balance, the First Party shall have absolute discretion and without notice to the client to liquidate the margin account including the marginable securities to bring the equity to not less than 150% of the debit balance.
9. First Party "Stock Broker" shall have the authority to give notice to the client to adjust the debit balance in "Margin Account" within 60 (sixty) trading days for whatever reason which "Broker" Shall reserve the right to reveal to client. If client doesn't respond to such notice "Stock Broker" shall have absolute discretion at the clients risk to sell any or all shares or securities in any company whatsoever in the client's "Margin Account" without any consent from the client in order to adjust the debit Balance of the "Margin Account".
10. "Stock Broker" will charge interest -----% P.A. @ quarterly rest on the client's account for any debit amount. NBL SL reserves the right to increase / decrease the interest rate by giving 7 (Seven) trading days notice to client.
11. The Client shall pay brokerage commission for all transactions i.e., buy and sale, in the "Margin Account" the client shall also pay brokerage commission for all transactions carried out in order to regularize the "Margin Account". Rate of brokerage will be 0.40 % (Point Four Zero percent) which is subject to change from time to time by the First Party by giving 7 (Seven) trading days notice to client.
12. The First Party shall always keep ready and provide the customer/client the details of the said margin account, including the receipts of all monies and securities received by the NBL SL, as and when demanded by the said customer /client.
13. Both the First Party and the customer/client shall remain duty bound to provide the competent authority(ies) concerned all particulars/ information and document pertaining to the said margin account as and when demanded by such authority(ies).
14. The client shall be solely responsible for notifying the Bangladesh Securities and Exchange Commission and other concerned authorities in the case of acquiring substantial shares of a company and/ or trading shares of companies in which the client holds directorship or in other cases where it is obligatory to notify the regulatory authorities.
15. If the client enjoys margin facilities in the BO account, in that case, highest limit to buy a single stock would be Tk or.....% of the portfolio value whichever is lower.
16. First Party shall have the right to change, rectify and include any terms and conditions in addition to the above at any time with 7 (seven) days notice to the client.
17. First Party will debit the client's Account for interest, brokerage, CDBL charges, and other service charges, if any.
18. A trading error shall have occurred if any discrepancy is detected between the order given by the Client in accordance and the order placed to the Stock Broker in the following aspects:
 - (a) Miss description of the name of securities.
 - (b) Alternation of orders, i.e., placing buy order while the Client place sale order and vice versa.

The Stock Broker shall not be responsible for and assumes no obligation to compensate for any damage or loss suffered by the Client due to a trading error.

19. The client shall indemnify First Party in full and keep indemnified, save and harmless against any losses, suits, claims and damages that may be caused, sustained, incurred or suffered by First Party for any reason in maintaining the client's accounts and using the Margin Trading Facilities in any manner whatsoever and/or acting on the instructions or requests, advices made or forwarded by way of telephone or Facsimile and electronic messages and reimburse First Party of any costs and expenses that First Party may have incurred or suffered in providing the services mentioned herein.



20. Where the client is in default of payment of legal fees, stamp duty or other out of pocket expenses incurred by First Party, First Party shall have the right and discretion to recover/adjust such expenses from the client by debiting the Client's Account.
21. The Stock Broker shall have the right to change, rectify and/or include any terms and conditions in addition to the above at any time with due notice to the Client.
22. This Agreement shall be governed by and construed in accordance with the law of Bangladesh and subject to Rules, Orders and Directives of BSEC. All disputes arising from this Agreement shall be settled amicably between the two parties within 30 days. If the amicable settlement fails or that 30 days period expires without any settlement then that dispute shall be referred to arbitration in accordance with the provisions of the Arbitration Act, 2001. Each party shall appoint its own arbitrator and the arbitrator of the parties so appointed shall appoint a third arbitrator who shall be the Chairman of the Arbitral Tribunal.
23. Failure on the part of either party to perform any of its obligations under this Agreement will not give either party a claim against each other which may arise from any impossibility due to no fault of either party or in other words due to reasons beyond the control of the parties in an event of Force Measure.
24. The Client is bound to follow Margin Rule 1999 of BSEC, as well as all latest derivatives & rules regarding Margin Rule 1999.

Being agreed upon to all terms above, both parties hereby put their signatures hereunder to make this agreement (prepared in duplicate one for each party) effective from the date written herein above until it is cancelled under signature of both of them.



Signature of the NBL SL
(CEO/Competent Authority)

Signature of the Customer/Account holder
(As Individual / Proprietor / CEO)

Client Code No

BO A/C No

Witness:

1. Signature :
- (NAME) :
- (ADDRESS) :

Witness:

2. Signature :
- (NAME) :
- (ADDRESS) :



NBL Securities Limited

Fully owned subsidiary company of National Bank Ltd.
Corporate Member:
DSE (203)SEC Reg. No. 3.1/DSE-203/2011/457
CSE (12) SEC Reg. No. 3.2/CSE-012/2011/280

Head Office: Rahamat Tower (4th floor), 19, Dilkusha C/A, Dhaka-1000
PABX: 47119620-21, E-mail: nblsecurities@gmail.com
Web: www.nblsl.com, www.nblbd.com

BO Account Opening Form

Bye Law 7.3.3 (b)

Please complete all details in CAPITAL letters. Please fill all names correctly. All communication shall be sent only to the First Named Account Holder's correspondence address.

Application No

Date (BDDMMYYYY).....

Please Tick whichever is applicable

BO Category : Regular <input type="checkbox"/>	Omnibus <input type="checkbox"/>	Clearing <input type="checkbox"/>	BO Type : Individual <input type="checkbox"/>	Company <input type="checkbox"/>	Joint Holder <input type="checkbox"/>
--	----------------------------------	-----------------------------------	---	----------------------------------	---------------------------------------

Name of CDBL Participant (Up to 99 Characters) : NBL Securities Limited		
CDBL Participant ID	BO ID	Date Account Opened (DDMMYYYY)
39800	1 2039800	

I / We request you to open a Depository Account in my / our name as per the following details:

1. First Applicant

Name in Full of Account Holder (Up to 99 Characters)	
Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs./ Ms. / Dr. abbreviate only if over 30 characters)	Title i.e.Mr./Mrs./Ms./Dr.
<input type="text"/>	<input type="text"/>
(In case of a Company/Firm/Statutory Body) Name of Contact Person	
In Case of Individual Male <input type="checkbox"/> Female <input type="checkbox"/> Occupation (30 Characters)	
Father's /Husband's Name :	
Mother's Name :	

2. Contact Details

Address	
City	Post Code State / Division Country Telephone
Mobile Phone	Fax E-mail

3. Passport Details

Passport No	Issue Place	Issue Date	Expiry Date
-------------------	-------------------	------------------	-------------------

4. Bank Details

Bank Name	Branch Name	Account No
Electronic Dividend Credit : Yes <input type="checkbox"/> No <input type="checkbox"/>	Tax Exemption if any : Yes <input type="checkbox"/> No <input type="checkbox"/>	TIN/ Tax ID :

5. Others Information

Residency: Resident <input type="checkbox"/> Non Resident <input type="checkbox"/>	Nationality	Date of Birth (DDMMYYYY) <input type="text"/>
Statement Cycle Code Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Other (Please Specify)		
Internal Ref. No. (To be filled in by CDBL Participant)		
In Case of Company:	Date of Registration (DDMMYYYY)	
Registration No.	<input type="text"/>	

6. Joint Applicant (Second Account Holder)

Name in Full (Up to 99 Characters)	
Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only If over 30 characters)	Title i.e.Mr/Mrs/Ms/Dr
<input type="text"/>	<input type="text"/>

4. Photograph of Power of Attorney Holder

	Please paste recent passport size Photograph
--	--

(POA Holder)

5. DECLARATION

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of applicant(s) / Authorized signatories in case of Ltd Co..	Signature with date
POA Holder		
First Applicant		
Second Applicant		
3rd Signatory (Ltd Co. only)		

Central Depository Bangladesh Limited (CDBL)
Depository Account (BO Account) opened with CDBL Participant
Terms & Conditions - Bye Laws 7.3.3(c)

NBL Securities Limited

Fully owned subsidiary company of National Bank Ltd.

Corporate Member :

DSE (203)SEC Reg. No. 3.1/DSE-203/2011/457

CSE (12) SEC Reg. No. 3.2/CSE-012/2011/280

Head Office: Rahamat Tower (4th floor), 19, Dilkusha C/A, Dhaka-1000

Dear Sir,

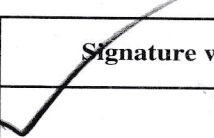
Please open a Depository Account (BO Account) in my/our names(s) on the terms and conditions set out below. In consideration of **NBL Securities Limited** (the "CDBL Participant") opening the account and providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below.

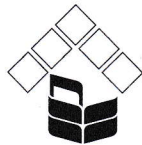
1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User)
2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/we instruct the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
4. I/we shall be responsible for:
 - (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
 - (b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form and subsequently for dematerialization;
 - (c) Title to the Securities submitted to the CDBL Participant from time to time for dematerialization;
 - (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction / transfer;
 - (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination, signature, etc.;
 - (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
6. Where I/we have executed a BO Account Nomination Form:
 - a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account.
 - b) In the event, the nominee so authorised remains a minor at the time of my/our death, the legal guardian is authorised to receive/draw the securities held in my/our account.
 - c) The nominee so authorised, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.
7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account, the account may be closed by me/us in one of the following ways:

1.

2.

- (a) By rematerialization of all existing balances in my/our account;
 - (b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s);
 - (c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my four other account(s) with any other CDBL Participant(s);
8. CDBL Participant covenants that it shall
- a) Act only on the instructions or mandate of the Account Holder or that of such person(s) as have been duly authorized by the Account Holder in that behalf.
 - b) Not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
 - c) Maintain an adequate audit trail of the execution of the instructions of the Account Holder.
 - d) Not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:
 - (i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
 - (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his / its constituted attorney available on the records of the CDBL Participant;
 - (iii) The balance of clear securities available in the Account Holder's account are sufficient to honour the Account Holder's instructions.
 - e) Furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
 - f) Promptly attend to all grievances complaints of the Account Holder and shall resolve all such grievances/ complaints as it relates to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant, and shall forthwith forward to and follow up with CDBL all other grievances / complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.
9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:
- (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
 - (b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
 - (c) Commits or participates in any fraud or other act of moral turpitude in his / its dealings with the CDBL Participant;
 - (d) Otherwise misconducts himself in any manner.
10. Declaration and Signature
- I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

Applicants	Name of Applicant(s)/ Authorized Signatory in case of Ltd. Co.	Signature with Date
First Applicant		
Second Applicant		
3rd Signatory (Ltd. Co. only)		



NBL Securities Limited

Fully owned subsidiary company of National Bank Ltd.

Corporate Member:

DSE (203)SEC Reg. No. 3.1/DSE-203/2011/457

CSE (12) SEC Reg. No. 3.2/CSE-012/2011/280

Customer Code

Date

Photo of Account Holder

BO ID No. **1 2 0 3 9 8 0 0**

Photo of Second Account Holder

1. First Account Holder -----

2. Joint Account Holder -----

Photo of Authorized Person/ Nominee

Mode of Operation or Mandate

Applicants	Full Name in Block Letter	Signature with Date
First Account Holder		
Joint Account Holder		
Name of Authorised Person		
Name of Nominee	<i>Nomi sign</i>	
Name of Power/Attorney		
3rd Signatory (Ltd.Co. only)		

Special Instruction on Operation of Joint Account

Either or Survivor Any one can operate Any two will operate jointly

Account will be operated by with any one of the others.

Operated by POA

NBL Securities Limited

ELECTRONIC FUND TRANSFER (EFT) Enrollment FORM

Client Code :

Date :

Client Name : _____ Mobile : _____

I/we do hereby authorize NBLSL to register the following Bank Account for the purpose of electronic fund transfer (EFT). By signing below I/we agree to enroll in the electronic funds transfer service.

Bank Name :

Bank A/C :

Branch Name:

Routing Number:

I/We certify that I/we am/are the owner or authorized signatory for this account.
I have also read and agree to the Terms and Conditions that follow.

Signature of Client

Signature Verified by

Approved by

TERMS AND CONDITIONS

1. NBLSL will transfer funds electronically from the customer's trading account to Customer Bank Account as on this EFT Enrollment Form.
2. It is customers sole responsibility to ensure that his Bank Account Information is correct and accurate. NBLSL is not responsible for any payment processing errors or fees incurred if you do not provide accurate account information.
3. NBLSL reserves the right to reject EFT Enrollment Application Form.
4. Any changes made to this EFT Enrollment Form must be updated in person at the NBLSL Office. This may require new EFT enrollment application process.
5. Terms and Conditions may be changed without prior notice due to the amendment or relevant regulatory policy by the relevant Regulatory Body such as Bangladesh Securities and Exchange Commission.
6. EFT services will be discontinued if:
 - The registered account is not active or closed.
 - The account holder is not the signatory of the registered account.
7. All questions and concerns regarding the EFT service should be directed to the CEO of NBLSL.
8. NBLSL is not responsible for technical errors or difficulties, beyond NBLSL's control, that may arise during the process of Electronic Fund Transfer.
9. **The account holder has to annex copy of cheque leaf of the account or bank statement or bank certificate of the account with EFT FORM.**



NBL Securities Limited

Fully owned subsidiary company of National Bank Ltd.

Head Office: Rahamat Tower (4th floor), 19, Dilkusha C/A, Dhaka-1000, Tel : PABX: 47119620-21
E-mail: nblsecurities@gmail.com, Web: www.nblsl.com, www.nblbd.com